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12-13-00

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# UTILITY PATENT APPLICATION TRANSMITTAL

Only for new nonprovisional applications under 37 C.F.R. §1.53(b)

|  |  |
|--|--|
| Attorney Docket No.                      | 29279/3:3  |
| First Inventor or Application Identifier | David Resnick  |
| Title                                    | ELECTRONIC PAYMENT SYSTEM UTILIZING INTERMEDIARY ACCOUNT |
| Express Mail Label No.                   | EL657217788US  |

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

- \* Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
- Specification [Total Pages **23**]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- Drawing(s) (35 U.S.C. 113) [Total Sheets **9**]
- Oath or Declaration [Total Pages **3**]
  - Newly executed (original or copy)
  - Copy from a prior application (37 C.F.R. §1.63(d))  
(for continuation/divisional with Box 17 completed)  
[Note Box 5 below]
    - DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§1.63(d)(2) and 1.33(b).
- Incorporation by Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

- Microfiche Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - Computer Readable Copy
  - Paper Copy (identical to computer copy)
  - Statement verifying identity of above copies.

## ACCOMPANYING APPLICATION PARTS

- Assignment Papers (cover sheet & document(s))
- 37 C.F.R. §3.73(b) Statement (when there is an assignee)  Power of Attorney
- English Translation Document (if applicable)
- Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
- Preliminary Amendment
- Return Receipt Postcard (MPEP 503) (should be specifically itemized)
- \* Small Entity Statement(s)  Statement filed in prior application, Status proper and desired (PTO/SB/09-12)
- Certified Copy of Priority Document(s) (if foreign priority is claimed)
- Other: \_\_\_\_\_

\* NOTE FOR ITEMS 1 & 14: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. §1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. §1.28).

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

Continuation  Divisional  Continuation-in-part (CIP) of prior application No: 09 / 442,620  
 Prior application information: Examiner Richard W. Hess Group/Art Unit: 2764

## 18. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label 3528 or  Correspondence address below  
 (Insert Customer No. or Attach bar code label here)

|         |                               |           |              |
|---------|-------------------------------|-----------|--------------|
| Name    | Micah D. Stelowitz            |           |              |
|         | Stoel Rives LLP               |           |              |
| Address | 900 SW Fifth Ave., Suite 2600 |           |              |
| City    | Portland                      | State     | OR           |
|         |                               | Zip Code  | 97204-1268   |
| Country | USA                           | Telephone | 503 224-3380 |
|         |                               | Fax       | 503 220-2480 |

|                   |                    |                                   |                   |
|-------------------|--------------------|-----------------------------------|-------------------|
| Name (Print/Type) | Micah D. Stelowitz | Registration No. (Attorney/Agent) | 32,758            |
| Signature         |                    | Date                              | December 11, 2000 |

Application No.:

Filed:

Inventors: **David Resnick and Matt J. Callanan**

Title: **ELECTRONIC PAYMENT SYSTEM  
UTILIZING INTERMEDIARY ACCOUNT**

Art Unit:

Examiner:

Attorney Docket No.: 29279/3:3

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**CERTIFICATE OF EXPRESS MAILING**

"Express Mail" mailing  
label number EL657217788US

Date of Deposit: December 11, 2000

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING  
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ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO  
THE ASSISTANT COMMISSIONER FOR PATENTS,  
WASHINGTON, D.C. 20231

  
\_\_\_\_\_  
Signature

\_\_\_\_\_  
Kitty Sisk

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Attachments:

For Divisional Patent Application:

- Preliminary Amendment
- Patent Application, including 23 pages of specification and claims  
(copied from prior parent patent application)
- Nine sheets of formal drawings
- Combined Declaration and Power of Attorney of prior application
- Information Disclosure Statement with copies of cited references
- Form PTO-1449
- Utility Patent Application Transmittal
- Fee Transmittal (in duplicate)
- Check for \$728.00
- Return receipt postcard

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete if Known

Application Number  
 Filing Date  
 First Named Inventor  
 Examiner Name  
 Group Art Unit  
 Attorney Docket No.

29279/3:3

U.S. PTO  
 09/13/00  
 12/11/00

TOTAL AMOUNT OF PAYMENT (\$) 728.00

### METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 19-4455  
 Deposit Account Name: Steel Rives LLP

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
 Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit card  Money Order  Other

### FEE CALCULATION

#### 1. BASIC FILING FEE

| Large Entity Code | Large Entity Fee (\$) | Small Entity Code | Small Entity Fee (\$) | Fee Description        | Fee Paid |
|-------------------|-----------------------|-------------------|-----------------------|------------------------|----------|
| 101               | 710                   | 201               | 355                   | Utility filing fee     | 710      |
| 106               | 320                   | 206               | 160                   | Design filing fee      |          |
| 107               | 490                   | 207               | 245                   | Plant filing fee       |          |
| 108               | 710                   | 208               | 355                   | Reissue filing fee     |          |
| 114               | 150                   | 214               | 75                    | Provisional filing fee |          |

SUBTOTAL (1) (\$) 710

#### 2. EXTRA CLAIM FEES

Total Claims: 21  
 Independent Claims: 3  
 Multiple Dependent:

Extra Claims: 1  
 Fee from below: 18  
 Fee Paid: 18

Independent Claims: 0  
 Fee from below: 80  
 Fee Paid: 0

| Large Entity Code | Large Entity Fee (\$) | Small Entity Code | Small Entity Fee (\$) | Fee Description  |
|-------------------|-----------------------|-------------------|-----------------------|--|
| 103               | 18                    | 203               | 9                     | Claims in excess of 20                                     |
| 102               | 80                    | 202               | 40                    | Independent claims in excess of 3                          |
| 104               | 270                   | 204               | 135                   | Multiple dependent claim, if not paid                      |
| 109               | 80                    | 209               | 40                    | ** Reissue independent claims over original patent         |
| 110               | 18                    | 210               | 9                     | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$) 18.00

\*\*or number previously paid, if greater; For Reissues, see above

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

| Large Entity Code | Large Entity Fee (\$) | Small Entity Code | Small Entity Fee (\$) | Fee Description  | Fee Paid |
|-------------------|-----------------------|-------------------|-----------------------|--|----------|
| 105               | 130                   | 205               | 65                    | Surcharge - late filing fee or oath  |          |
| 127               | 50                    | 227               | 25                    | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139               | 130                   | 139               | 130                   | Non-English specification  |          |
| 147               | 2,520                 | 147               | 2,520                 | For filing a request for <i>ex parte</i> reexamination                     |          |
| 112               | 920*                  | 112               | 920*                  | Requesting publication of SIR prior to Examiner action                     |          |
| 113               | 1,840*                | 113               | 1,840*                | Requesting publication of SIR after Examiner action                        |          |
| 115               | 110                   | 215               | 55                    | Extension for reply within first month                                     |          |
| 116               | 390                   | 216               | 195                   | Extension for reply within second month                                    |          |
| 117               | 890                   | 217               | 445                   | Extension for reply within third month                                     |          |
| 118               | 1,390                 | 218               | 695                   | Extension for reply within fourth month                                    |          |
| 128               | 1,890                 | 228               | 945                   | Extension for reply within fifth month                                     |          |
| 119               | 310                   | 219               | 155                   | Notice of Appeal   |          |
| 120               | 310                   | 220               | 155                   | Filing a brief in support of an appeal                                     |          |
| 121               | 270                   | 221               | 135                   | Request for oral hearing   |          |
| 138               | 1,510                 | 138               | 1,510                 | Petition to institute a public use proceeding                              |          |
| 140               | 110                   | 240               | 55                    | Petition to revive - unavoidable   |          |
| 141               | 1,240                 | 241               | 620                   | Petition to revive - unintentional   |          |
| 142               | 1,240                 | 242               | 620                   | Utility issue fee (or reissue)   |          |
| 143               | 440                   | 243               | 220                   | Design issue fee   |          |
| 144               | 600                   | 244               | 300                   | Plant issue fee  |          |
| 122               | 130                   | 122               | 130                   | Petitions to the Commissioner  |          |
| 123               | 50                    | 123               | 50                    | Petitions related to provisional applications                              |          |
| 126               | 240                   | 126               | 240                   | Submission of Information Disclosure Stmt                                  |          |
| 581               | 40                    | 581               | 40                    | Recording each patent assignment per property (times number of properties) |          |
| 146               | 710                   | 246               | 355                   | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149               | 710                   | 249               | 355                   | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179               | 710                   | 279               | 355                   | Request for Continued Examination (RCE)                                    |          |
| 169               | 900                   | 169               | 900                   | Request for expedited examination of a design application                  |          |

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) \_\_\_\_\_

### SUBMITTED BY

Name (Print/Type): Micah D. Stolowitz  
 Signature: *Micah D. Stolowitz*  
 Registration No. (Attorney/Agent): 32,758  
 Telephone: (503) 224-3380  
 Date: December 11, 2000

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.